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0001/PTO Rev. 10/95	U.S. Department of Patent and Trade		Application Number	10/813,216	3			
		Filing Date	March 29,	2004				
TRANS	MITTAL FOR	M	First Named Inventor	Kang Le Y	ang ang			
(to be used for all correspondence during pendency of filed application)			Group Art Unit Number	3624	3624			
·			Examiner Name	Not yet kn	own			
Total Number of Pag	ges in This Submission	6*	Attorney Docket Number	23876-089	70			
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	SIGNA	TURE OF	ATTORNEY OR AGE	MT				
Signature:	Mehaelii	}						
Attorney/Reg. No.:	Michael W. Farn, Reg	j. No. 41,015	5	Dated:	JNE 23	, 2004		
		CERTIFIC	ATE OF MAILING					
first class mail in an env the date shown below. I	I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Applicatesee" service pursuant to 37 CFR 1.10.							
Signature:	Michael)						
Typed or Printed Na	me: Michael W. Far	n		Dated:	JWE 23	, 2004		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LICANTS:

Kang Le Yang, Kevin Ka-Shun Fung, Daniel Ming-Chiu Chan and

Michael Kwok-Po Ng

APPLICATION NO.:

10/813,216

FILING DATE:

March 29, 2004

TITLE:

Settlement of Auctions by Determining Quantity Before Price

EXAMINER:

Not yet known

GROUP ART UNIT:

3624

ATTY. DKT. NO.:

23876-08970

CERTIFICATE OF MAILING

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SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each non-U.S. patent listed reference that may be material to the examination of this application, and for which there may be a duty to disclose. Please advise if you wish to have copies of any listed U.S. patent(s).

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

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	date of a first	office action on the merits, whichever event last occurred;
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		each item of information contained in this Information
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	on or before	the payment of the issue fee but after the mailing date of the
	first to occur	of either: (1) a final action under 37 CFR § 1.113; (2) a
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	Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:
		each item of information contained in this Information
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		more than three months prior to the filing of this Statement;
		or ·

				Ш	no item of information contained in this Information
					Disclosure Statement was cited in a communication from a
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			П	attache	ed hereto is the fee set forth under 37 CFR §1.17(p) for
	•		Ш		ssion of this Information Disclosure Statement under 37
			. 0	_	1.97(c); OR
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		·			his Information Disclosure Statement be placed in the file
•				_	7 CFR § 1.97(i), although the information may not be
				•	the USPTO.
					s, under 35 U.S.C. § 120, on the earlier filing date of prior
•		applic	ation N	o. APPI	LICATION NO., filed on DATE FILED, and the references
		cited t	herein a	are hereb	by referenced, but are not required to be provided in this
		applica	ation ui	nder 37 (CFR § 1.98(d).
		Each i	tem of	informat	tion contained in this Information Disclosure Statement was
		cited in	n a com	munica	tion from a foreign patent office in a counterpart application,
		and the	e comm	nunicatio	on was not received by any individual designated in 37 CFR
		§ 1.56	(c) mor	e than th	hirty days prior to the filing of this Information Disclosure
		Statem	nent. 3'	7 CFR §	1.704(d).
	\boxtimes	Applic	ant sub	mits tha	at no fee is required for the consideration of this Information
				atement.	- · · · · · · · · · · · · · · · · · · ·
	Consid	deration	of the	listed re	ferences and favorable action are solicited.
	•				Respectfully submitted,
					KANG LE YANG et al.
					$\mathcal{N} \mathcal{A} \sim$
Dated:	_	INE	23,	2004	By: Michael V.
					Michael W. Farn, Reg. No. 41,015
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RANEM Substitute for form 1449A/PTO Complete if Known Application No. 10/813,216 **INFORMATION DISCLOSURE** Filing Date March 29, 2004 STATEMENT BY APPLICANT First Named Inventor Kang Le Yang Art Unit 3624 **Examiner Name** Not yet known Attorney Docket Number Sheet 2 23876-08970

	U.S. PATENT DOCUMENTS								
		Document No.							
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document					
		US-2001/0032175 A1	10-18-2001	Holden et al.					
		US-2001/0034687 A1	10-25-2001	Bushonville et al.					
		US-2001/0034691 A1	10-25-2001	Guarino et al.					
		US-2002/0026401 A1	02-28-2002	Hueler					
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		Foreign Patent Document					
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶		
	1	CN 1315709 A	10-03-2001	Future Circulation Network Co. Ltd.			
	2	WO 02/056146 A2	07-18-2002	Vertical Crossings.com, Inc.			
	3	WO 02/13111 A1	02-14-2002	The Debt Exchange, Inc.			
	4	WO 02/37390 A2	05-10-2002	Liquidity Direct			
	5	WO 02/46999 A2	06-13-2002	Semicon Exchange Pte. Ltd.			
	6	WO 03/050654 A2	06-19-2003	Bank One			

Examiner Signature		Date Considered	
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	Substitute for	form 1449	A/PTO .	Complete if Known			
INFO	PMATIO	א חופ	CLOSURE	Application No.	10/813,216		
				Filing Date	March 29, 2004		
STATEMENT BY APPLICANT				First Named Inventor	Kang Le Yang		
				Art Unit	3624		
				Examiner Name	Not yet known		
Sheet	2	of	2	Attorney Docket Number	23876-08970		

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁵			
	7	Betfair Rules and Regulations web page, 2 pages [online], [retrieved on 2003-03-18]. Retrieved from the Internet <url: about="" http:="" rulesandregulations.asp="" www.betfair.com="">.</url:>				
	8	Intrade home web page, 2 pages [online], [retrieved on 2002-06-11]. Retrieved from the Internet <url: http:="" www.intrade.com="">.</url:>				

Examiner Signature	Date Considered	
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